

RS  
#  
5  
5-8-03

jc997 U.S. PRO  
10/052207



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re the Application of : **Seshaiah PONNEKANTI**  
Filed: : **Concurrently herewith**  
For: : **COMMUNICATIONS SYSTEMS**  
Serial No. : **Concurrently herewith**

Assistant Commissioner for Patents

Washington, D.C. 20231

January 17, 2002

**INFORMATION DISCLOSURE STATEMENT**

SIR:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

As this Statement is being filed prior to issuance of a first Office Action, no fee is due.

The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the

Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a) and 1.106 (b), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper, may be charged on Deposit Account 50-1290.

Respectfully submitted,

Samson Helfgott  
Reg. No. 23,072

Rosenman & Colin LLP  
575 Madison Avenue  
New York, NY 10022-2585  
(212)940-8800  
Docket No: FUJL 19.346

Please type a plus sign (+) → +

## Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

1449/PTO	U.S. Department of Commerce Patent and Trademark Office	Application No. :
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date :
<u>Sheet 1 of 1</u>		First Named Inventor: S. PONNEKANTI
		Group Art Unit :
		Examiner Name :
		Attorney Docket No. : FUJL 19.346

10/05/2007  
JC 997 U.S. PRO  
10/17/02

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
/AL/	6,061,387		Yi		05/09/2000	
/AL/	5,978,365		Yi		11/02/1999	

**FOREIGN DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
/AL/		WO 00/67508	PCT	Nokia Networks Oy	11/09/2000	
/AL/		WO 99/35764	PCT	Nokia Telecommunications Oy	07/15/1999	

**Other Prior Art-Non Patent Literature Documents**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check here if English language translation attached
/AL/		GUO et al. Advanced Base Station Technologies for UTRAN. Electronics & Communication Engineering Journal. Pgs. 123-131 June 2000	
		SIAVASH M. ALAMOUTI A Simple Transmit Diversity Technique for Wireless Communications. IEEE Journal on Select Areas in Communications, Vol. 16, No.8. Pgs. 1451-1458, October 1998	
		RAITOLA, et al. Transmission Diversity in Wideband CDMA. Pgs. 18-21 IEEE 1999	
		DABAK, et al. Space Time Block Coded Transmit Antenna Diversity Scheme for WCDMA. Pgs. 1-10 VTC 1999	
		3 <sup>rd</sup> Generation Partnership Project (3GPP) Technical Specifications,, 3G TS 25.214 V3.3.0 Draft (2000-06) pgs 29-30	
Examiner Signature	/Chi Ho Lee/		Date Considered 04/25/2008

Examine: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.